Financial Insights
Growing your future together

Meeting Checklist

Please Prepare Copies Of The Following Documents In Order To Assist Your Advisory Team

Investment Account Statements

- ❑ 401(k), 403(b), 457, Employer Sponsored Retirement Plans
- ❑ Plan Investment Options, Employer Matches, etc. (Plan Booklets)
- ❑ IRA /RIRA statement
- ❑ Brokerage/Bank account statements
- ❑ Educational IRAs, 529, Coverdell, GET Credit Statements

Cash Flow & Retirement Income

- ❑ Pension Plan Statement (Lump Sum vs. Annuity Options)
- ❑ Social Security Statement/Print Outs
- ❑ Rental Income Schedule (if appropriate)
- ❑ Business Income (if appropriate)
- ❑ Pay Stubs/1-2 months

Insurance & Annuity Policies

- ❑ Insurance Policies (Life, Home, Auto, Business)
- ❑ Annuity Policies/Statements
- ❑ Long Term Care Policy

Legal & Tax Documents

- ❑ Trusts, Will, Powers of Attorney
- ❑ Marital Agreements including prenuptial agreements
- ❑ Recent Tax Returns
- ❑ Monthly Expense Worksheet

Net Worth

- ❑ List of major assets including home, auto, boat
- ❑ Include fair market value and purchase price
- ❑ List of all liabilities including credit, mortgage and loans